

Agenda – Assembly Commission Audit and Risk Assurance Committee

Meeting Venue:

Conference Room 4B – Tŷ Hywel

Meeting date: 17 June 2019

Meeting time: 10.30

For further information contact:

Clerk: Kathryn Hughes

Committee Clerk

0300 200 6565

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1 Introductions, apologies and declaration of interests

2 Minutes of 25 March, actions and matters arising

(Pages 1 – 13)

Attached Documents:

ACARAC (03-19) Paper 1 – Minutes of 25.03.19

ACARAC (03-19) Paper 2 – Action Summary

3 Governance & Assurance Update Report

(Pages 14 – 23)

Attached Documents:

ACARAC (03-19) Paper 3 – Governance and Assurance Update Paper – June 2019

4 Internal Audit Annual Report 2018-19

(Pages 24 – 35)

Attached Documents:

ACARAC (03-19) Paper 4 – Internal Audit Annual Opinion and Report 2019

5 Annual Report on Fraud

(Pages 36 – 41)



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Attached Documents:

ACARAC (03-19) Paper 5 – Annual Report on Fraud

6 Latest Internal Audit Report/Previously circulated Internal Audit Report

(Pages 42 – 63)

Attached Documents:

ACARAC (03-19) Paper 6 – Cyber Security 2019

ACARAC (03-19) Paper 7 – Internal Audit of Assembly Members' Expenses 2019

7 Annual Report and Accounts, including the Governance Statement

(Pages 64 – 193)

Attached Documents:

ACARAC (03-19) Paper 8 – Draft Annual Report and Statement of Accounts

ACARAC (03-19) Paper 8 Annex A – Annual Report 2018-19 (branded)

ACARAC (03-19) Paper 8 Annex B – Statement of Accounts 2018-19

8 WAO Opinion 2018-19 (ISA260)

(Pages 194 – 209)

Attached Documents:

ACARAC (03-19) Paper 9 – NAWC ISA 260

9 Corporate Risks

(Pages 210 – 229)

Attached Documents:

ACARAC (03-19) Paper 10 – Corporate Risks

ACARAC (03-19) Paper 10 – Annex A – Corporate Risk Summary Register

ACARAC (03-19) Paper 10 – Annex B – Corporate Risk plotted

10 Critical examination of one identified or emerging risk (Cyber)

(Pages 230 – 231)

Attached Documents:

ACARAC (03-19) Paper 11 – Cyber Risk Radar Diagram – May 2019

11 SIRO Annual Report 2018–19

(Pages 232 – 241)

Attached Documents:

ACARAC (03–19) Paper 12 – SIRO Annual Report 2019

12 ACARAC Annual Report 2018–19

(Pages 242 – 261)

Attached Documents:

ACARAC (03–19) Paper 13 – ACARAC Annual Report 2018–19

13 Departure Summary

(Pages 262 – 263)

Attached Documents:

ACARAC (03–19) Paper 14 – Departure Summary

14 Forward Work Programme

(Pages 264 – 268)

Attached Documents:

ACARAC (03–19) Paper 15 – Forward Work Programme