

# Agenda – National Assembly for Wales Commission Audit and Risk Assurance Committee

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Meeting Venue:

Conference Room 4B – Tŷ Hywel

Meeting date: Monday, 8 February  
2016

Meeting time: 10.30

For further information contact:

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Committee Clerk  
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## 1 Introductions, apologies and declaration of interests

## 2 Minutes, actions and matters arising

(Pages 1 – 13)

Attached Documents:

ACARAC (31) Paper 1 – Minutes 16 Nov. 2015

ACARAC (31) Paper 2 – Summary of actions

## 3 Internal Audit update

(Pages 14 – 27)

Attached Documents:

ACARAC (31) Paper 3 – IA Progress Report

ACARAC (31) Paper 4 – IA Recs Monitoring – cover paper

ACARAC (31) Paper 5 – IA Recs monitoring

## 4 Latest Internal Audit Report

(Pages 28 – 44)

Attached Documents:

ACARAC (31) Paper 6 – IA Key Financial Controls



## **5 Internal Audit Strategy for 2016–17**

(Pages 45 – 68)

Attached Documents:

ACARAC (31) Paper 7 – IA Strategy 2016–17

## **6 Accounts overview**

(Pages 69 – 75)

Attached Documents:

ACARAC (31) Paper 8 – Annual accounts 2015–16

## **7 WAO Update**

(Pages 76 – 80)

Attached Documents:

ACARAC (31) Paper 9 – WAO update

## **8 Results of Effectiveness Survey and action plan**

(Pages 81 – 103)

Attached Documents:

ACARAC (31) Paper 10 – Effectiveness Survey – cover paper

ACARAC (31) Paper 11 – Effectiveness Survey 2015 – Report

## **9 Preparation of the Committee's Annual Report**

(Pages 104 – 122)

Attached Documents:

ACARAC (31) Paper 12 – Annual Report 2014–15

## **10 Review Terms of Reference**

(Pages 123 – 127)

Attached Documents:

ACARAC (31) Paper 13 – Terms of Reference June 2015

## **11 Update the committee on recent training events and co-operation between auditors and other review bodies**

## **12 Finance update**

(Pages 128 – 142)

Attached Documents:

ACARAC (31) Paper 14 – Finance update

## **13 Corporate Risks Report**

(Pages 143 – 154)

Attached Documents:

ACARAC (31) Paper 15 – Corporate Risks

ACARAC (31) Paper 16 – Annex A – Corporate Risk Summary Report

ACARAC (31) Paper 17 – Annex B – Corporate Risks plotted

## **14 Critical examination of one identified risk**

(Pages 155 – 161)

Attached Documents:

ACARAC (31) Paper 18 – Corporate Capacity Risk

## **15 Departures Summary**

(Page 162)

Attached Documents:

ACARAC (31) Paper 19 – Departure summary

## **16 Forward Work Programme**

(Pages 163 – 167)

Attached Documents:

ACARAC (31) Paper 20 – FWP

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