

Agenda – National Assembly for Wales Commission Audit and Risk Assurance Committee

Meeting Venue:

Conference Room 4B – Tŷ Hywel

Meeting date: Monday, 8 February
2016

Meeting time: 10.30

For further information contact:

Clerk: Kathryn Hughes

Committee Clerk

0300 200 6565

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1 Introductions, apologies and declaration of interests

2 Minutes, actions and matters arising

(Pages 1 – 13)

Attached Documents:

ACARAC (31) Paper 1 – Minutes 16 Nov. 2015

ACARAC (31) Paper 2 – Summary of actions

3 Internal Audit update

(Pages 14 – 27)

Attached Documents:

ACARAC (31) Paper 3 – IA Progress Report

ACARAC (31) Paper 4 – IA Recs Monitoring – cover paper

ACARAC (31) Paper 5 – IA Recs monitoring

4 Latest Internal Audit Report

(Pages 28 – 44)

Attached Documents:

ACARAC (31) Paper 6 – IA Key Financial Controls



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5 Internal Audit Strategy for 2016–17

(Pages 45 – 68)

Attached Documents:

ACARAC (31) Paper 7 – IA Strategy 2016–17

6 Accounts overview

(Pages 69 – 75)

Attached Documents:

ACARAC (31) Paper 8 – Annual accounts 2015–16

7 WAO Update

(Pages 76 – 80)

Attached Documents:

ACARAC (31) Paper 9 – WAO update

8 Results of Effectiveness Survey and action plan

(Pages 81 – 103)

Attached Documents:

ACARAC (31) Paper 10 – Effectiveness Survey – cover paper

ACARAC (31) Paper 11 – Effectiveness Survey 2015 – Report

9 Preparation of the Committee's Annual Report

(Pages 104 – 122)

Attached Documents:

ACARAC (31) Paper 12 – Annual Report 2014–15

10 Review Terms of Reference

(Pages 123 – 127)

Attached Documents:

ACARAC (31) Paper 13 – Terms of Reference June 2015

11 Update the committee on recent training events and co-operation between auditors and other review bodies

12 Finance update

(Pages 128 – 142)

Attached Documents:

ACARAC (31) Paper 14 – Finance update

13 Corporate Risks Report

(Pages 143 – 154)

Attached Documents:

ACARAC (31) Paper 15 – Corporate Risks

ACARAC (31) Paper 16 – Annex A – Corporate Risk Summary Report

ACARAC (31) Paper 17 – Annex B – Corporate Risks plotted

14 Critical examination of one identified risk

(Pages 155 – 161)

Attached Documents:

ACARAC (31) Paper 18 – Corporate Capacity Risk

15 Departures Summary

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Attached Documents:

ACARAC (31) Paper 19 – Departure summary

16 Forward Work Programme

(Pages 163 – 167)

Attached Documents:

ACARAC (31) Paper 20 – FWP