

Agenda – Senedd Commission Audit and Risk Assurance Committee

Meeting Venue:

For further information contact:

Video Conference via Microsoft Teams

Clerk: Kathryn Hughes

Meeting date: 22 November 2021

Committee Clerk

Meeting time: 10.00 – 12.30

0300 200 6565

SCARAC@senedd.wales

1 Introductions, apologies and declaration of interests

2 Minutes of 18 June, actions and matters arising

(Pages 1 – 12)

Attached Documents:

ARAC (05–21) Paper 1 – Minutes of 18 June 2021

ARAC (05–21) Paper 2 – Action Summary

3 COVID–19 – Corporate update

Oral item

4 Governance and Assurance update (inc. progress of Internal Audit activity)

(Pages 13 – 20)

Attached Documents:

ARAC (05–21) Paper 3 – G&A Update Report – Nov 2021

5 Consider latest Internal Audit reports

(Pages 21 – 33)



Attached Documents:

ARAC (05-21) Paper 4 – Payroll Internal Audit Report 2021

6 Review HMT/other guidance for Audit and Risk Assurance Committees

Oral item

7 2021 Audit Plan and Audit Wales update report (inc. AW reports/outputs)

(Pages 34 – 59)

Attached Documents:

ARAC (05-21) Paper 5 – Audit Wales 2021 Audit Plan

ARAC (05-21) Paper 6 – Audit Wales ARAC Update Nov 2021

8 Finance update

(Pages 60 – 67)

Attached Documents:

ARAC (05-21) Paper 7 – Finance update

9 PAPAC and Finance Committee update

Oral item

10 Corporate Risk

(Pages 68 – 92)

Attached Documents:

ARAC (05-21) Paper 8 – Corporate Risk

ARAC (05-21) Paper 8 Annex A – Summary Corporate Risk Register

ARAC (05-21) Paper 8 Annex B – Corporate Risks Plotted

**11 Critical examination of one identified or emerging risk –
Adherence in 6th Senedd to changes to Members' regulatory
framework**

Oral item around CRR update

12 Update on the transition to the Sixth Senedd

(Pages 93 – 105)

Attached Documents:

ARAC (05–21) Paper 9 – Transition to the Sixth Senedd

13 Commission's strategy for sixth Senedd

Oral item

14 Update on cyber–security

Oral item/presentation

15 Information Breaches (twice yearly report)

Oral item

16 Departure Summary

(Page 106)

Attached Documents:

ARAC (05–21) Paper 10 – Departures Summary Nov 2021

**17 Feedback on discussions at the Commission's Remuneration, Engagement and Workforce Advisory Committee (REWAC)
(29.09.21)**

Oral item

18 Estates Strategy

Oral item

19 Update from the Chair on presentation of the Committee's Annual Report to the Senedd Commission

Oral item

20 Review the committee's terms of reference

(Pages 107 – 110)

Attached Documents:

ARAC (05–21) Paper 11 – ARAC Terms of Reference

21 Forward work programme

(Pages 111 – 115)

Attached Documents:

ARAC (05–21) Paper 12 – Forward Work Programme

22 Any Other Business

Oral item