

Agenda – Senedd Commission Audit and Risk Assurance Committee

Meeting Venue:	For further information contact:
Video Conference via Zoom	Clerk: Kathryn Hughes
Meeting date: 23 April 2021	Committee Clerk
Meeting time: 10.00–13.00	0300 200 6565

1 Introductions, apologies and declaration of interests

2 Minutes of 12 February, actions and matters arising

(Pages 1 – 15)

Attached Documents:

ARAC (02–21) Paper 1 – Draft Minutes of 12 Feb 2021

ARAC (02–21) Paper 2 – Action Summary

3 COVID–19 – Corporate update

4 G&A update report

(Pages 16 – 22)

Attached Documents:

ARAC (02–21) Paper 3 – G&A update report

5 Internal Audit Charter and Internal Audit's compliance with Public Sector Internal Audit Standard (PSIAS)

(Pages 23 – 34)

Attached Documents:

ARAC (02–21) Paper 4 – IA Charter – cover paper

ARAC (02–21) Paper 4 – Annex A – IA Charter 2021



6 Internal Audit Annual Report and Opinion

(Pages 35 – 45)

Attached Documents:

ARAC (02-21) Paper 5 – Internal Audit Annual Report and Opinion for 2020-21

7 Annual Report on Fraud

(Pages 46 – 50)

Attached Documents:

ARAC (02-21) Paper 6 – Annual Report on Fraud

8 Latest Internal Audit reports

(Pages 51 – 80)

Attached Documents:

ARAC (02-21) Paper 7 – Internal Audit Report – ICT Asset Management

ARAC (02-21) Paper 8 – Internal Audit Report – Cyber Security

9 Emerging findings and advice to Accounting Officer regarding submission of the draft Annual Report and Accounts to the Commission

(Pages 81 – 90)

Attached Documents:

ARAC (02-21) Paper 9 – Audit Wales update

10 Commission's draft Annual Report and Governance Statement for 2020-21

(Pages 91 – 228)

Attached Documents:

ARAC (02-21) Paper 10 – Draft Annual Report and Accounts 2020-21 – cover paper

ARAC (02-21) Paper 10 – Annex A – draft Annual Report Narrative

ARAC (02-21) Paper 10 – Annex B – draft Statement of Accounts

ARAC (02-21) Paper 10 – Annex C – draft Annual Governance Statement

11 Finance Update

(Pages 229 – 234)

Attached Documents:

ARAC (02-21) Paper 11 – Finance update

12 Corporate Risk

(Pages 235 – 259)

Attached Documents:

ARAC (02-21) Paper 12 – Corporate Risk

ARAC (02-21) Paper 12 – Annex A – Corporate Risk Summary Report

ARAC (02-21) Paper 12 – Annex B – Corporate Risks Plotted

13 Risk Management Process (Oral Item)

14 Departure Summary

(Pages 260 – 261)

Attached Documents:

ARAC (02-21) Paper 13 – Departures Summary

15 Update on fraud and whistleblowing policies

(Pages 262 – 285)

Attached Documents:

ARAC (02-21) Paper 14 – Whistleblowing and Fraud Policy Updates – cover paper

ARAC (02-21) Paper 14 – Annex A – Fraud Corruption and Bribery Policy

ARAC (02-21) Paper 14 – Annex B – Whistleblowing Policy

16 SIRO Annual Report

(Pages 286 – 292)

Attached Documents:

ARAC (02-21) Paper 15 – SIRO Annual Report

17 Feedback on discussions at the Commission's Remuneration, Engagement and Workforce Advisory Committee (REWAC) (Oral Item)

18 Committee's Annual Report to the Commission and Accounting Officer (Oral Item)

19 Forward work programme

(Pages 293 – 296)

Attached Documents:

ARAC (02-21) Paper 16 – ARAC Forward Work Programme 2021

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