

# Agenda – Senedd Commission Audit and Risk Assurance Committee

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Meeting Venue:

For further information contact:

Video Conference via Zoom

Clerk: Kathryn Hughes

Meeting date: 23 April 2021

Committee Clerk

Meeting time: 10.00–13.00

0300 200 6565

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## 1 Introductions, apologies and declaration of interests

## 2 Minutes of 12 February, actions and matters arising

(Pages 1 – 15)

Attached Documents:

ARAC (02–21) Paper 1 – Draft Minutes of 12 Feb 2021

ARAC (02–21) Paper 2 – Action Summary

## 3 COVID–19 – Corporate update

## 4 G&A update report

(Pages 16 – 22)

Attached Documents:

ARAC (02–21) Paper 3 – G&A update report

## 5 Internal Audit Charter and Internal Audit's compliance with Public Sector Internal Audit Standard (PSIAS)

(Pages 23 – 34)

Attached Documents:

ARAC (02–21) Paper 4 – IA Charter – cover paper

ARAC (02–21) Paper 4 – Annex A – IA Charter 2021



## **6 Internal Audit Annual Report and Opinion**

(Pages 35 – 45)

Attached Documents:

ARAC (02-21) Paper 5 – Internal Audit Annual Report and Opinion for 2020-21

## **7 Annual Report on Fraud**

(Pages 46 – 50)

Attached Documents:

ARAC (02-21) Paper 6 – Annual Report on Fraud

## **8 Latest Internal Audit reports**

(Pages 51 – 80)

Attached Documents:

ARAC (02-21) Paper 7 – Internal Audit Report – ICT Asset Management

ARAC (02-21) Paper 8 – Internal Audit Report – Cyber Security

## **9 Emerging findings and advice to Accounting Officer regarding submission of the draft Annual Report and Accounts to the Commission**

(Pages 81 – 90)

Attached Documents:

ARAC (02-21) Paper 9 – Audit Wales update

## **10 Commission's draft Annual Report and Governance Statement for 2020-21**

(Pages 91 – 228)

Attached Documents:

ARAC (02-21) Paper 10 – Draft Annual Report and Accounts 2020-21 – cover paper

ARAC (02-21) Paper 10 – Annex A – draft Annual Report Narrative

ARAC (02-21) Paper 10 – Annex B – draft Statement of Accounts

ARAC (02-21) Paper 10 – Annex C – draft Annual Governance Statement

## **11 Finance Update**

(Pages 229 – 234)

Attached Documents:

ARAC (02-21) Paper 11 – Finance update

## **12 Corporate Risk**

(Pages 235 – 259)

Attached Documents:

ARAC (02-21) Paper 12 – Corporate Risk

ARAC (02-21) Paper 12 – Annex A – Corporate Risk Summary Report

ARAC (02-21) Paper 12 – Annex B – Corporate Risks Plotted

## **13 Risk Management Process (Oral Item)**

## **14 Departure Summary**

(Pages 260 – 261)

Attached Documents:

ARAC (02-21) Paper 13 – Departures Summary

## **15 Update on fraud and whistleblowing policies**

(Pages 262 – 285)

Attached Documents:

ARAC (02-21) Paper 14 – Whistleblowing and Fraud Policy Updates – cover paper

ARAC (02-21) Paper 14 – Annex A – Fraud Corruption and Bribery Policy

ARAC (02-21) Paper 14 – Annex B – Whistleblowing Policy

## **16 SIRO Annual Report**

(Pages 286 – 292)

Attached Documents:

ARAC (02-21) Paper 15 – SIRO Annual Report

**17 Feedback on discussions at the Commission's Remuneration, Engagement and Workforce Advisory Committee (REWAC) (Oral Item)**

**18 Committee's Annual Report to the Commission and Accounting Officer (Oral Item)**

**19 Forward work programme**

(Pages 293 – 296)

Attached Documents:

ARAC (02-21) Paper 16 – ARAC Forward Work Programme 2021